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on February 13, 2004 (Date of Deposit)
02/13/04 Shelly Kent
Date Name

Image 2817

PATENT

Attorney Docket No. 4097 P02
RW Ref. No.: APM/063-99-CP1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Daniel HOFFMAN, et al.)
Group Art Unit: 2817)
Entitled: PLASMA REACTOR WITH OVER-)
HEAD RF ELECTRODE TUNED TO THE)
PLASMA)
Examiner: Unknown)
Serial No.: 10/028,922)
Filed: December 19, 2001)

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed please find the following:

1. Information Disclosure Statement, PTO-1449 Form, one non-patent publication; and
2. Return Receipt Postcard

Respectfully submitted,

Robert M. Wallace
Registration No. 29,119
Attorney for Applicants

Dated: 02/13/04

Robert M. Wallace
Patent Counsel
2112 Eastman Avenue, Suite 102
Ventura, CA 93003
805-644-4035

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D2/13/04 (Date)

Shelly Hunt (Name)

PATENT

Attorney Docket No. 4097 P02

RW Ref. No. APM/063-99-CP1

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In re Application of:)
Daniel HOFFMAN, et al.) Group Art Unit: 2817
Entitled: PLASMA REACTOR WITH OVERHEAD) Examiner: Unknown
RF ELECTRODE TUNED TO THE PLASMA)
Serial No.: 10/028,922)
Filing Date: December 19, 2001)

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each foreign patent document and/or non-patent literature document is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings. These documents are not necessarily analogous art.

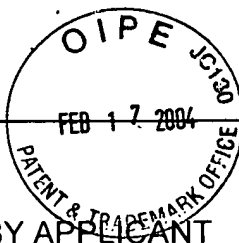
Respectfully submitted,



Robert M. Wallace
Registration No. 29,119
Attorney for Applicants

Dated: 02/13/04

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**INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number: 10/028,922
 Filing Date: December 19, 2001
 First Named Inventor: Daniel HOFFMAN, et al.
 Group Art Unit: 2817
 Examiner Name: Unknown
 Attorney Docket Number: **4097 P02**

U. S. PATENT DOCUMENTS

| Examiner Initials | Document No | Issue Date | Name of Patentee of Applicant of Cited Document | Class | Subclass | Filing Date |
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| | US-6,449,568 B1 | 09-10-2002 | GERRISH | 702 | 60 | 02-27-1998 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Foreign Patent Document | Date | Country | Name | Translation? (Yes/No/n/a) |
|-------------------|-------------------------|------|---------|------|---------------------------|
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | |
|-------------------|---|
| | King, Ronald W.P., "Transmission-line Theory", McGraw-Hill Book Company, pp. 1-10 and 282-286, USA. |
| | |
| | |

Examiner's Signature:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in compliance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.